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S&H Form: (2/01)  
DOCKET NO. 1344.1133

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Yuichi KAWAHATA

Serial No: 10/790,356

Group Art Unit: 2872

Confirmation No. 9386

Filed: March 2, 2004

Examiner: TBA

For: OPTICAL COMPONENT PROVIDED WITH DEMULTIPLEXING FUNCTION AND  
WAVELENGTH DISPERSION COMPENSATOR

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

It is requested that the date of the Foreign Priority Application on the Official Filing Receipt be corrected. The correct date of the Foreign Priority Application is **August 22, 2003**, as is evidenced by the copy of the Utility Patent Application Transmittal, attached to the application as filed. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

It is requested that a corrected Official Filing Receipt be issued in this application.

Respectfully submitted,

STAAS & HALSEY LLP

Date: 6-28-04

By: John C. Garvey  
Registration No. 28,607

1201 New York Ave, N.W., Suite 700  
Washington, D.C. 20005  
Telephone: (202) 434-1500  
Facsimile: (202) 434-1501

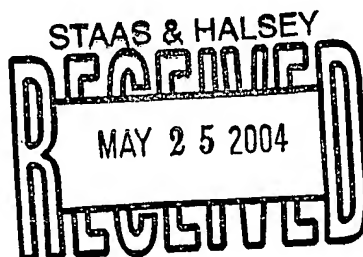


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/790,356	03/02/2004	2872	770	1344.1133	12	11	3

21171  
 STAAS & HALSEY LLP  
 SUITE 700  
 1201 NEW YORK AVENUE, N.W.  
 WASHINGTON, DC 20005



CONFIRMATION NO. 9386

## FILING RECEIPT



\*OC000000012721559\*

Date Mailed: 05/20/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Yuichi Kawahata, Kawasaki, JAPAN;

## Assignment For Published Patent Application

Fujitsu Limited, Kawasaki, JAPAN;

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 2003-298239 [06/22/2003] -- August 22, 2003

If Required, Foreign Filing License Granted: 05/19/2004

Projected Publication Date: 12/23/2004

Non-Publication Request: No

Early Publication Request: No

## Title

Optical component provided with demultiplexing function and wavelength dispersion compensator

**Preliminary Class**

359

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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S&amp;H Form: PTO/SB/05 (2/02)

**UTILITY  
PATENT APPLICATION****TRANSMITTAL**

(Only for original applications)

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent  
application contents.

Attorney Docket No. 1344.1133

First Named Inventor or Application Identifier:  
Yuichi KAWAHATA

Express Mail Label No.

**ADDRESS TO:**  
**Commissioner for Patents**  
**Box Patent Application**  
**PO Box 1450**  
**Alexandria, VA 22313-1450**

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract .....[Total Pages: 19]
3. ☒ Drawing(s) (35 USC 113) .....[ Total Sheets: 12 ] [FIGS. 1-15 ]
4. ☒ Oath or Declaration .....[ Total Pages: 3 ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Verified Statement Claiming Small Entity Status
6. ☐ Application Data Sheet. See 37 C.F.R. 1.76
7. ☒ Applicant claims foreign priority benefit to: Japanese Application 2003-298239 filed August 22, 2003
8. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies
10. ☐ For publication of assignee information under 37 CFR 1.215(b), list the assignee as Fujitsu Limited of Kawasaki, Japan. The Assignment papers will be filed later.

**ACCOMPANYING APPLICATION PARTS**

11. ☒ Assignment (cover sheet & document(s)) to Fujitsu Limited of Kawasaki, Japan  
☒ for publication of assignee information under 37 CFR 1.215(b)
12. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
15. ☐ Preliminary Amendment
16. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
17. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
18. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent
19. ☐ Other:

**20. CORRESPONDENCE ADDRESS****21171**

PATENT TRADEMARK OFFICE